

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **NODA, MASATOSHI et al**

PC17JP99/00648

Serial No.: **09/926,005**

ATTN: PCT BRANCH

Group Art Unit: **Not Yet Assigned**

Filed: **August 13, 2001**

**FOR: ADP-RIBOSYLATION INHIBITOR COMPRISING PROANTHOCYANIDIN AS AN
ACTIVE INGREDIENT AND A COMPOSITION FOR TREATING ENTEROTOXIN
TYPE BACTERIAL INFECTIOUS DISEASE**

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS

**Commissioner for Patents
Washington, D.C. 20231**

November 2, 2001

Dear Sir:

In response to the Notice to File Missing Requirements of Application dated
September 4, 2001, enclosed for filing is an executed Declaration and Power of Attorney for the
above-identified patent application.

Please note that the surcharge fee of **\$130.00** for filing the late Declaration was paid at
the initial filing on August 13, 2001. The fees enclosed at initial filing on **August 13, 2001** were
\$990.00, **\$860.00** for the government filing fee, and **\$130.00** for the late filing fee for the
Declaration. In the event that any additional fees are due with this paper, please charge Deposit
Account No. 01-2340.

Respectfully Submitted,

**ARMSTRONG, WESTERMAN, HATTORI,
McLELAND and NAUGHTON, LLP**

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DWH/srb
Atty. Docket No. **010969**
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PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

010969

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
010969/patipo.gov

U.S. APPLICATION NO. 0015

NODA

FIRST NAMED APPLICANT

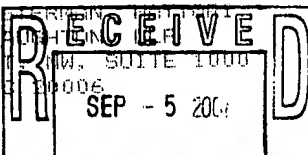
ATTY. DOCKET NO.

09/926005

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INTERNATIONAL APPLICATION NO. 00648

ARMSTRONG, WESTERMAN, HATTORI,
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1725 K STREET, N.W., SUITE 1000
WASHINGTON, DC 20006

I.A. FILING DATE 09/15/99 PRIORITY DATE 00/00

09/04/01

DATE MAILED:

Due November 4, 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.
☒ Copy of the international application. ☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s). ☐ Translation of Article 19 amendments into English.
☐ Copy of Article 19 amendments. ☐ Other:
☒ Priority Document.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.

PCT-National Phase No Priority
Priority: 2-15-99 MTL
20 months: 8-15-01
30 months: 10-15-01
32 mo

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Lamont Hunter, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703.305-3686